

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
17052/1098.008

In Re Application Of: **Juan Felix Roman**
**10/589911**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
			25,779		

Title: **HANDHELD OPTICAL DIAGNOSTIC DEVICE HAVING IMAGE SYSTEM ARRAY**

Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
 (Under 37 CFR 1.97(b) or 1.97(c))

 Docket No.  
 17052/1098.008

 In Re Application of: **Juan Felix Roman**
**10/589911**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
			25,779		

 Title: **HANDHELD OPTICAL DIAGNOSTIC DEVICE HAVING IMAGE SYSTEM ARRAY**
**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.  
☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.  
     ☐ Charge the amount of \_\_\_\_\_  
     ☐ Credit any overpayment.  
     ☐ Charge any additional fee required.  
☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Richard L. Sampson, Esq.  
 SAMPSON & ASSOCIATES, P. C.  
 50 Congress Street, Suite 519  
 Boston, MA 02109  
 T. 617-557-2900  
 F. 617-557-0077

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" (37 CFR 1.8(a)) on

(Date)

Signature of Person Mailing Correspondence

Elaine C. Donovan

Typed or Printed Name of Person Mailing Certificate

Dated:

**8/18/06**

CC:

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Docket Number (Optional)

17052/1098.008

Application Number

10/589911

Applicant(s)

Juan Felix Roman

Filing Date

Group Art Unit

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,408,535	04/18/1995	Howard, III et al.			
	2	6,184,040	02/06/2001	Polizzotto et al.			
	3	6,331,715	12/18/2001	Mauchan et al.			

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.